# Models and Algorithms for Online Exploration and Search

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### Outline

### Introduction

### 2 Exploring Grid Polygons

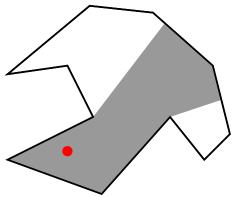
- Introduction
- Simple Grid Polygons
- Grid Polygons with Holes

### 3 Search

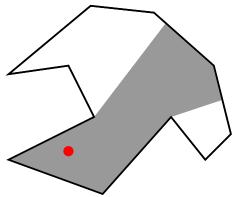
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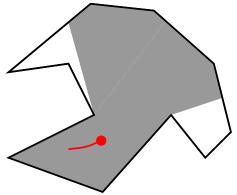
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- Exploration: Move around, until everything was 'seen'
- Searching: Move around, until target is found



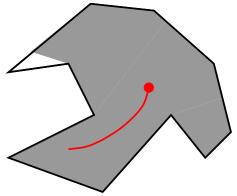
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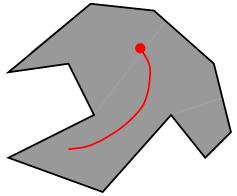
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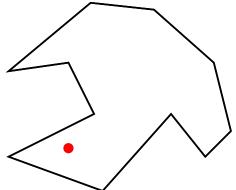
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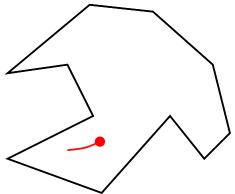
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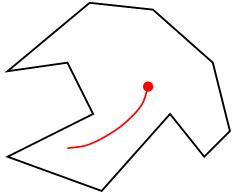
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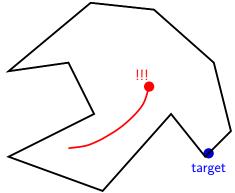
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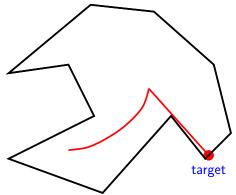
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### Models

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#### Environment

- Graph, polygon, obstacles (none/rect/polygonal/curved),
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#### Costs

- Measure: path length, number of turns/scans
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### • Robot has to explore an unknown environment, P

#### • Find a tour in P that

- visits every part of P at least once
- returns to the robot's start point
- can be computed online
- is as short as possible
- For example: lawn mowing, cleaning

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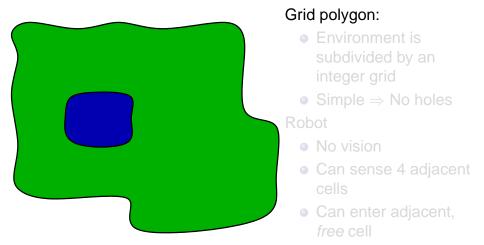
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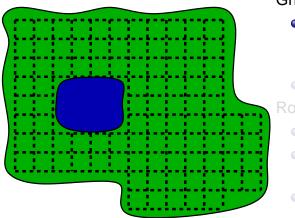
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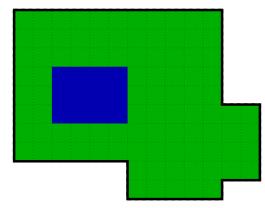




Grid polygon:

- Environment is subdivided by an integer grid
- Simple  $\Rightarrow$  No holes

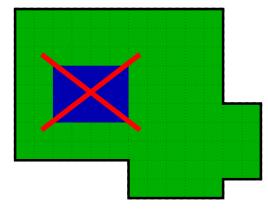
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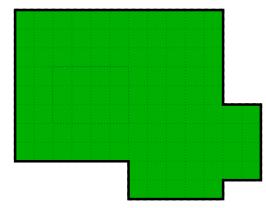
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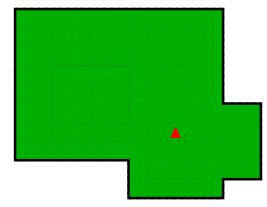
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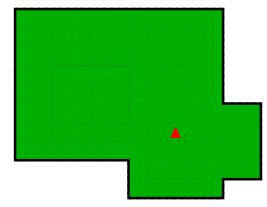
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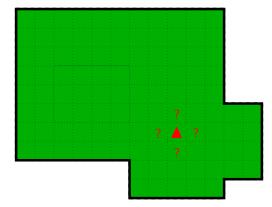
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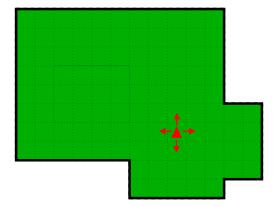
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### Offline (i. e., environment is known to the robot)

### With holes:

NP-hard [Itai, Papadimitriou, Szwarcfiter; 1982]  $\frac{53}{40}$ -approximation [Arkin, Fekete, Mitchell; 2000]

 Without holes: complexity is unknown! <sup>4</sup>/<sub>3</sub>-approximation [Ntafos; 1992] <sup>6</sup>/<sub>5</sub>-approximation [Arkin, Fekete, Mitchell; 2000]

### Online

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- Survey on covering [Choset; 2001]

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## A Lower Bound

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### Theorem

# No online exploration strategy achieves a competitive factor better than

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in simple grid polygons.

### Proof.

Adversary strategy.

## A Lower Bound

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### Theorem

# No online exploration strategy achieves a competitive factor better than 7

6

in simple grid polygons.

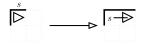
### Proof.

Adversary strategy.

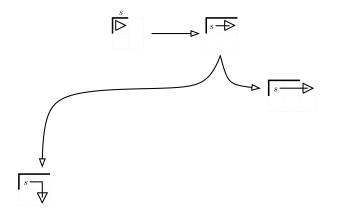
315



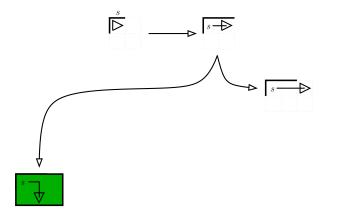
W.I.o.g.: east



South or east

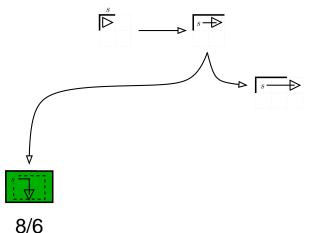


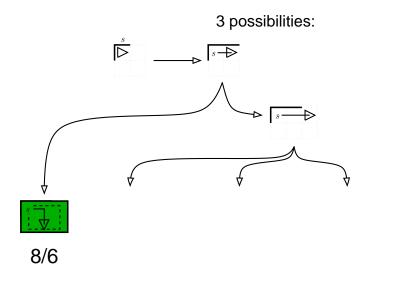
Close polygon



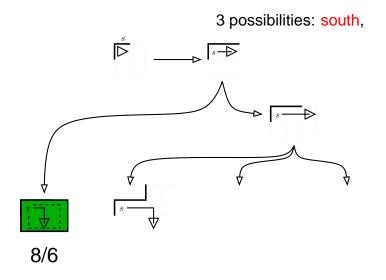
Online vs. optimal

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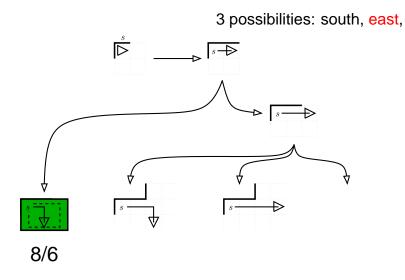


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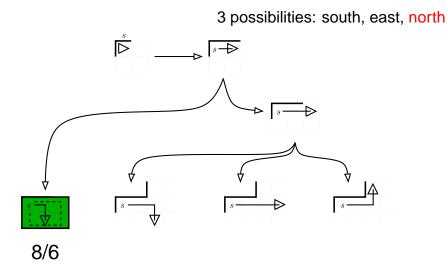
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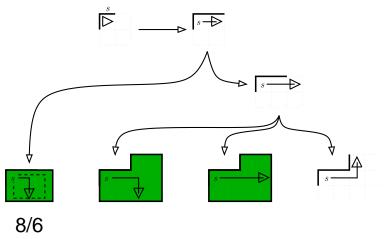
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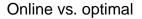


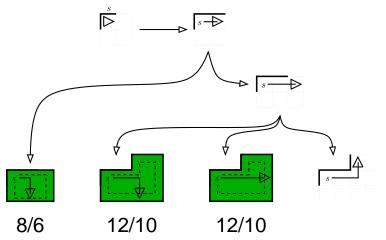
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Close polygon



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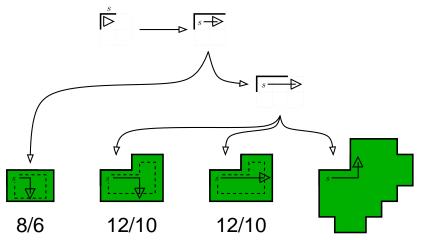




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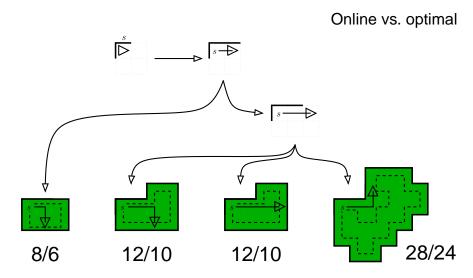
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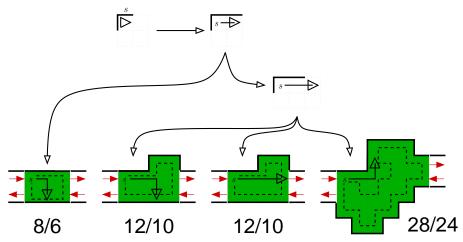
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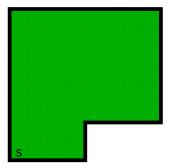
Polygons of arbitrary size

< 4 →



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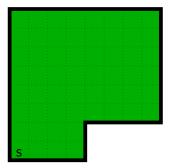
## SmartDFS: An exploration strategy (1)



# • First idea: Apply depth-first search (DFS)

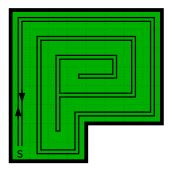
- Left-hand rule: prefer step to the left over a straight step over a step to the right
- Visits each cell twice!

## SmartDFS: An exploration strategy (1)



- First idea: Apply depth-first search (DFS)
- *Left-hand rule*: prefer step to the left over a straight step over a step to the right
- Visits *each* cell twice!

## SmartDFS: An exploration strategy (1)



- First idea: Apply depth-first search (DFS)
- *Left-hand rule*: prefer step to the left over a straight step over a step to the right
- Visits each cell twice!

## SmartDFS: An exploration strategy (2)



- DFS visits each cell twice
- More reasonable: Return directly to unvisited cell
- Improved DFS

### Improvement

Return directly to those cells that have unexplored neighbors.

## SmartDFS: An exploration strategy (2)



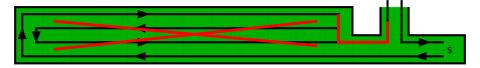
### DFS visits each cell twice

More reasonable: Return directly to unvisited cell
Improved DFS

### Improvement <sup>•</sup>

Return directly to those cells that have unexplored neighbors.

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- DFS visits each cell twice
- More reasonable: Return directly to unvisited cell
- Improved DFS

### Improvement

Return directly to those cells that have unexplored neighbors.

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- DFS visits each cell twice
- More reasonable: Return directly to unvisited cell
- Improved DFS

#### Improvement 7

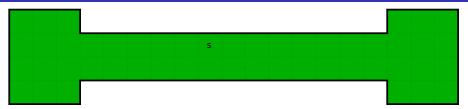
Return directly to those cells that have unexplored neighbors.



- DFS visits each cell twice
- More reasonable: Return directly to unvisited cell
- Improved DFS

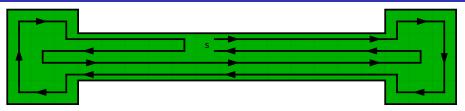
### **Improvement 1**

Return directly to those cells that have unexplored neighbors.



- DFS visits long corridor four times
- More reasonable: Visit right part immediately, continue with the corridor, visit left part, return to s
- Long corridor is traversed only two times!
- Split cells: Set of unvisited cells gets disconnected

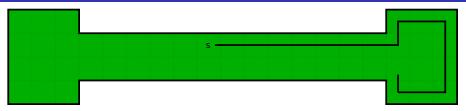
### Improvement 2



### DFS visits long corridor four times

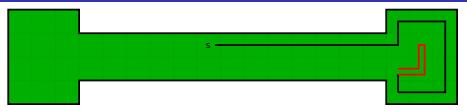
- More reasonable: Visit right part immediately, continue with the corridor, visit left part, return to s
- Long corridor is traversed only two times!
- Split cells: Set of unvisited cells gets disconnected

#### Improvement 2



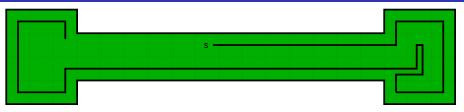
- DFS visits long corridor four times
- More reasonable: Visit right part immediately, continue with the corridor, visit left part, return to *s*
- Long corridor is traversed only two times!
- Split cells: Set of unvisited cells gets disconnected

### Improvement 2



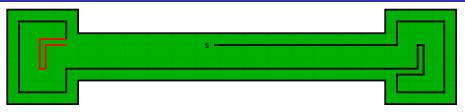
- DFS visits long corridor four times
- More reasonable: Visit right part immediately, continue with the corridor, visit left part, return to s
- Long corridor is traversed only two times!
- Split cells: Set of unvisited cells gets disconnected

### Improvement 2



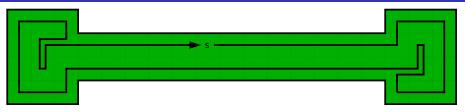
- DFS visits long corridor four times
- More reasonable: Visit right part immediately, continue with the corridor, visit left part, return to s
- Long corridor is traversed only two times!
- Split cells: Set of unvisited cells gets disconnected

### Improvement 2



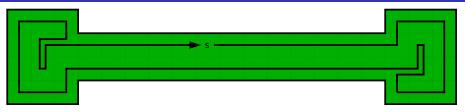
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### Improvement 2



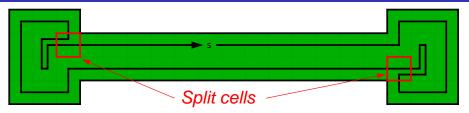
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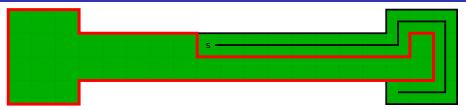
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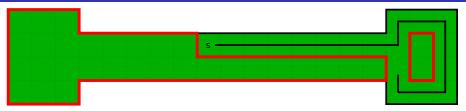
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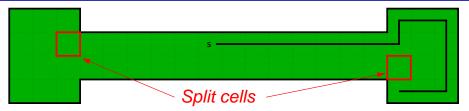
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## Performance of SmartDFS

### Theorem (Number of Steps)

$$S \leq C + \frac{1}{2}E - 3$$
 (tight!)

(S: #Steps from cell to cell, C: #cells, E: #boundary edges)

### Theorem (Competitivity)

SmartDFS is  $\frac{4}{3}$  competitive (i. e., S<sub>SmartDFS</sub>  $\leq \frac{4}{3} \cdot$  S<sub>Optimal</sub>)

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Models and Algos for Expl. and Search

15/39

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## A Lower Bound

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### Theorem

No online exploration strategy achieves a factor better than

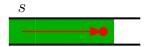
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in grid polygons with holes.

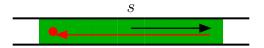
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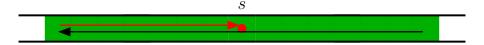


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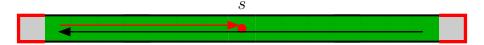
#### • fix large Q, observe strategy's behaviour



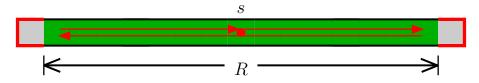
### • Case 1: robot returns to *s* after Q < S < 2Q steps

- $\bullet \rightarrow$  close corridor with one unexplored cell at each end
- Robot has walked at least 2*R* 2 steps
- Needs another 2R steps to explore the last two cells

• Optimal 2*R*, 
$$\frac{\text{Strat}}{\text{Opt}} \rightarrow 2$$
 for  $Q \rightarrow \infty$ 

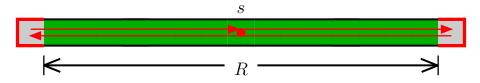


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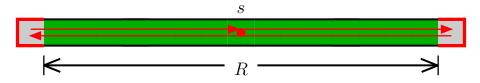
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#### • Case 2: robot prefers on side of the corridor

- → Add a T-crossing, both corridors turn back
- Robot explored one corridor "up to s" → Close corridor
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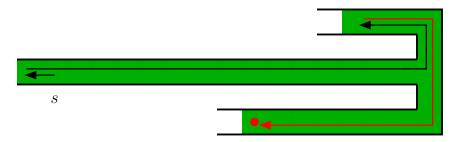
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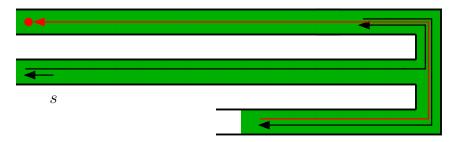
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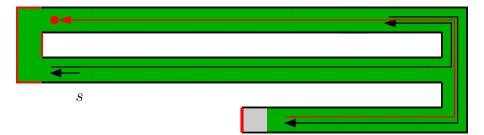


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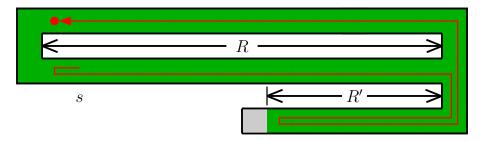


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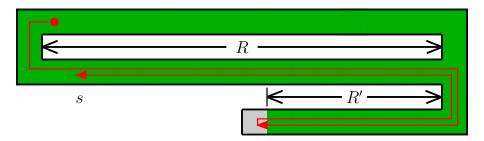


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### **Proof: Lower Bound**

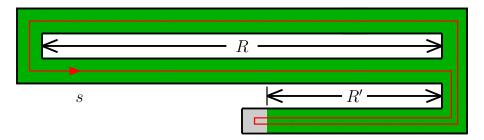
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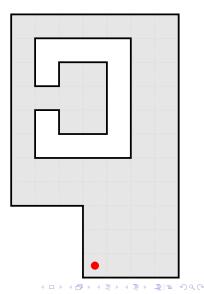
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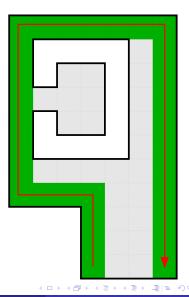


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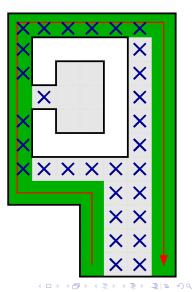
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- *Reserve* cells right to (or on) the walked path
- If no forward step is possible: enter backward mode
- Backward mode:
  - Walk back on reserved cells
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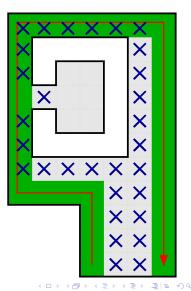


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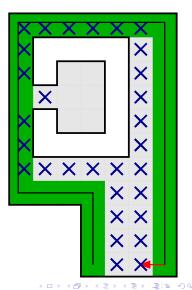
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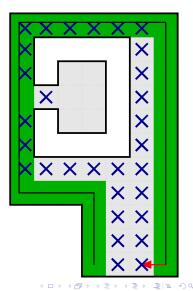
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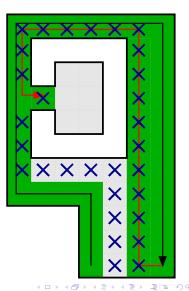
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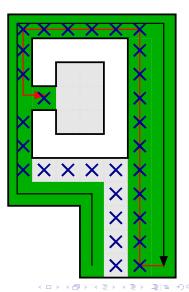
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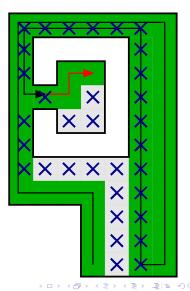
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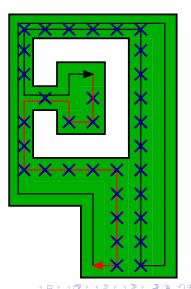
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### Performance of CellExplore

### Theorem (Number of Steps)

CellExplore needs at most

$$C+\frac{1}{2}E+3H+W-2$$

steps to explore a polygon. This bound is tight.

(C: #cells, E: #boundary edges, H: #holes, W: "sinuosity")

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W: distinguish between straight and winded polygons





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### Outline

### Introduction

### 2 Exploring Grid Polygons

- Introduction
- Simple Grid Polygons
- Grid Polygons with Holes

### 3 Search

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- $\bullet\,$  Search for a goal in a given environment,  ${\cal E}\,$
- Quality measure?

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• Competitive ratio for a strategy, S:

$$C := \sup_{\mathcal{E}} \sup_{p \in \mathcal{E}} \frac{|\mathcal{S}(s, p)|}{|\mathrm{sp}(s, p)|}$$

• Search ratio for a strategy S in E:

$$\mathsf{SR}(\mathcal{S},\mathcal{E}) := \sup_{p \in \mathcal{E}} rac{|\mathcal{S}(s,p)|}{|\mathsf{sp}(s,p)|}$$

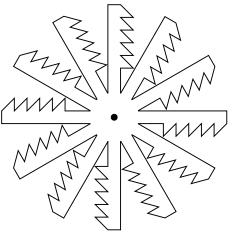
(Koutsoupias et al.; 1996: offline search in graphs)

- Optimal search ratio:  $SR_{OPT}(\mathcal{E}) := \inf_{S} SR(S, \mathcal{E})$
- Approximation: S Search-competitive

$$C_{s} := \sup_{\mathcal{E}} \frac{SR(\mathcal{S}, \mathcal{E})}{SR_{OPT}(\mathcal{E})}$$

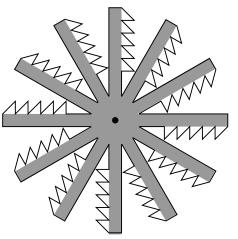
### • Searching in a polygon

- Searcher has vision
- Adversary can force every strategy to explore every corridor
- Optimal path is very short
- ⇒ every strategy is 'bad' (i.e., not constant-competitive)

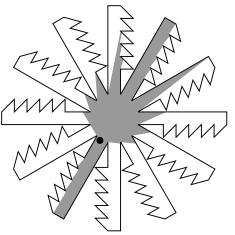


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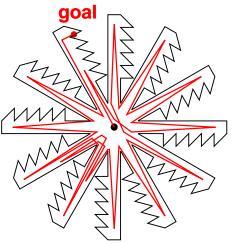
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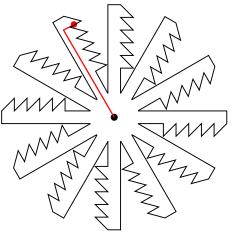
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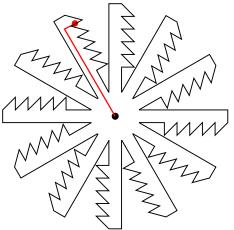
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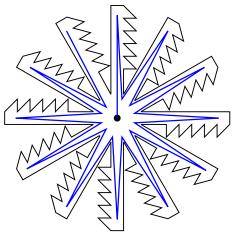
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- Strat1: explore every corridor completely
- Strat2:
  - visit corridors up to d = 1visit corridors up to d = 2visit corridors up to d = 4 etc
- Strat2 seems to be 'better': visits points near to s earlier
- Can we measure this quality?

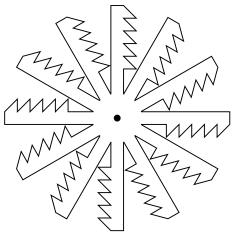


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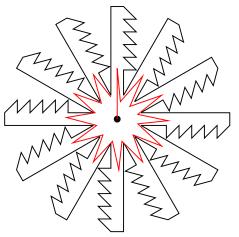
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visit corridors up to d = 1visit corridors up to d = 2visit corridors up to d = 4 etc.

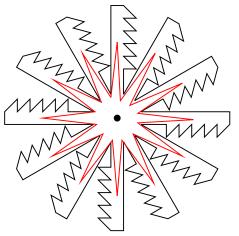
- Strat2 seems to be 'better': visits points near to s earlier
- Can we measure this quality?



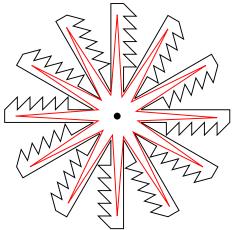
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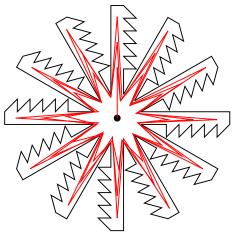


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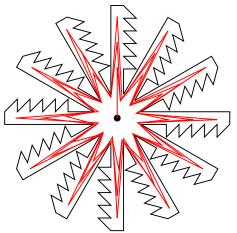


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• Competitive ratio for a strategy, S:

$$C := \sup_{\mathcal{E}} \sup_{p \in \mathcal{E}} \frac{|\mathcal{S}(s, p)|}{|\mathrm{sp}(s, p)|}$$

• Search ratio for a strategy S in E:

$$\mathsf{SR}(\mathcal{S},\mathcal{E}) := \sup_{p \in \mathcal{E}} rac{|\mathcal{S}(s,p)|}{|\mathsf{sp}(s,p)|}$$

(Koutsoupias et al.; 1996: offline search in graphs)

- Optimal search ratio:  $SR_{OPT}(\mathcal{E}) := \inf_{\mathcal{S}} SR(\mathcal{S}, \mathcal{E})$
- Approximation: S Search-competitive

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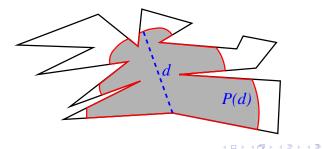
### **Depth-Restrictable Exploration**

### Definition

An exploration algorithm, *Expl*, for  $\mathcal{E}$  is **depth restrictable**:

- Expl(d): explore  $\mathcal{E}$  only up to depth  $d \ge 1$
- *Expl*(*d*) is *C*-competitive, i.e.,  $\exists C \ge 1, \beta > 0$ :  $\forall \mathcal{E}$ :

 $|Expl(d)| \leq C \cdot |Expl_{opt}(\beta \cdot d)|$ .



## **Approximation Framework**

### Approximation Strategy

Use Doubling paradigm: call  $Expl(2^i)$ , i = 1, 2, 3, ...

#### Theorem

Let  $\mathcal{E}$  be an environment fulfilling  $\forall p \in \mathcal{E} : |sp(s, p)| = |sp(p, s)|$ , Expl be a C-competitive, depth-restrictable exploration algorithm for  $\mathcal{E}$ .

Searching with  $Expl(2^i)$ , i = 1, 2, 3, ... yields a

- 4*β*C–search-competitive strategy (blind agent)
- 8βC–search-competitive strategy (agent has vision)

( $\beta$ : enlargement factor for depth restriction)

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## Searching in Simple Polygons

- Shortest Watchman Route (Dror et al., 2003)
   ⇒ offline 8–search-competitive strategy
- √2-competitive exploration for rectilinear polygons (Deng et al., 1991)
   ⇒ 8√2–search-competitive online strategy for rectilinear polygons
- 26.5-competitive exploration strategy *PolyExplore* (Hoffmann et al., 1998)
   ⇒ 212–search-competitive online strategy for simple

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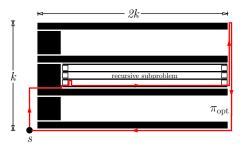
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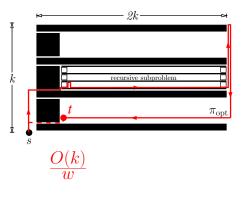
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- No O(1)-competitive exploration for polygons with holes (Albers et al., 1999)
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- $\Rightarrow$  No search-competitive strategy

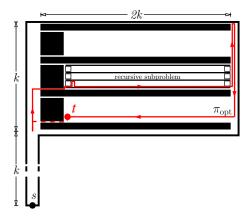


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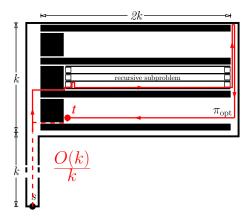
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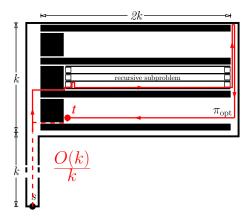
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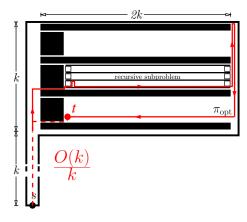


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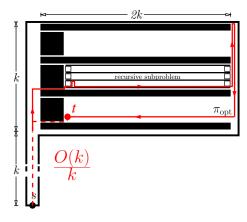
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### **General Lower Bound**

### Theorem

#### If for a given type of environments

- there is no constant-competitive exploration strategy
- the lower-bound scene can be enlarged
- $\Rightarrow$  there is no search-competitive strategy.

# Relation Between Searching and Exploring

### **Close relation**

- ∃ constant-competitive, depth-restrictable exploration strategy
  - $\Rightarrow \exists$  search-competitive strategy
- *A* constant-competitive exploration strategy, but ∃ 'extendable' lower bound
  - $\Rightarrow \nexists$  search-competitive strategy

### **Open question**

∃ search-competitive strategy

 $\Leftrightarrow \exists$  constant-competitive exploration strategy

(for environments fulfilling  $\forall p \in \mathcal{E} : |sp(s, p)| = |sp(p, s)|)$ 

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# Summary

### Onl. exploration of grid polygons

- Simple polygons
  - Lower bound:  $\frac{1}{6}$
  - Expl. strategy SmartDFS
  - $S \le C + \frac{1}{2}E 3$
  - <sup>4</sup>/<sub>3</sub>-competitive
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- Grid polygons with holes
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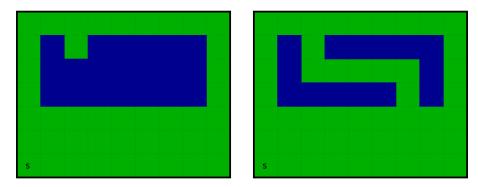
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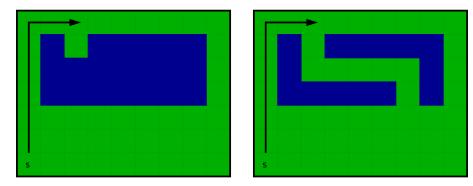
# Thank you!

Tom Kamphans (Uni Bonn)

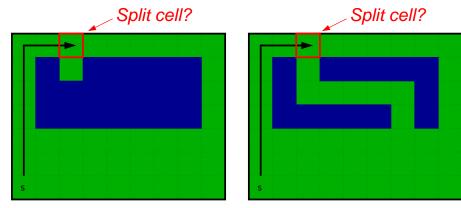
Models and Algos for Expl. and Search



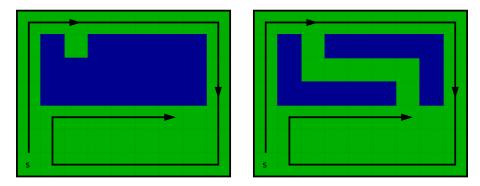
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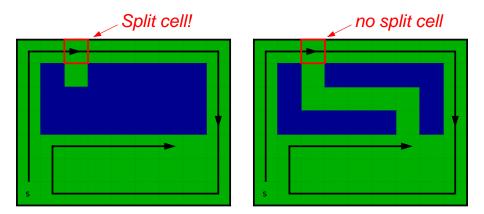


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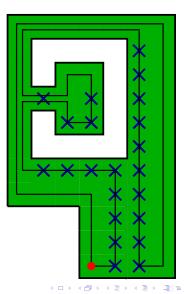
#### A Problem with SmartDFS



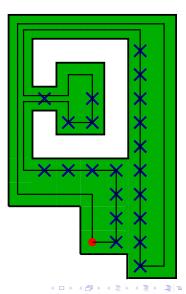
#### $\implies$ No *local* criterion for detecting split cells!

A (10) A (10) A (10)

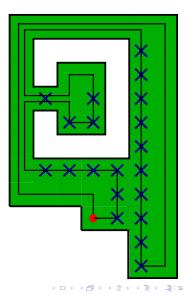
- Successively remove start cell and cells reserved in the first step
- Observe the balance of cells, edges, and steps
- Global arguments: charge holes and curves



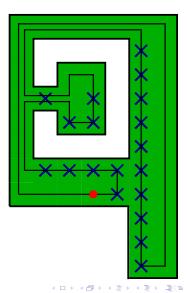
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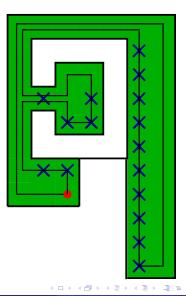
- Successively remove start cell and cells reserved in the first step
- Observe the balance of cells, edges, and steps
- Global arguments: charge holes and curves



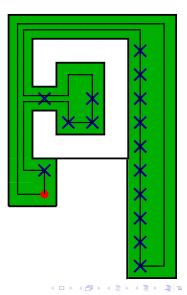
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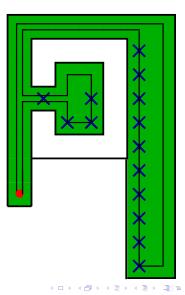
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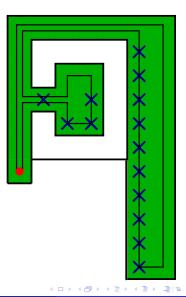
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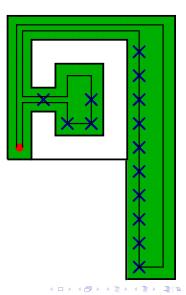
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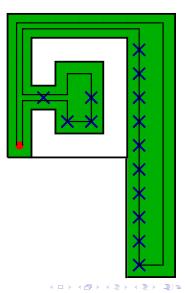
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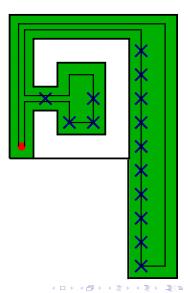
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#### Performance of CellExplore

#### Theorem (Number of Steps)

CellExplore needs at most

$$C+\frac{1}{2}E+3H+W-2$$

steps to explore a polygon. This bound is tight.

(C: #cells, E: #boundary edges, H: #holes, W: "sinuosity")

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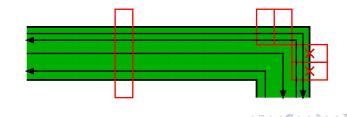
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 A search algorithm S is called C-competitive, if ∃A, so that for every environment:

 $|\mathcal{S}| \leq \mathbf{C} \cdot |\mathsf{OPT}| + \mathbf{A}$ 

 A search algorithm S is called C–search competitive, if ∃A, so that for every environment S:

$$\mathsf{SR}(\mathcal{S},\mathcal{E}) \leq \mathsf{C} \cdot \mathsf{SR}_{\mathsf{OPT}}(\mathcal{E}) + \mathsf{A}$$